DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)

APPLICATION FOR TRANSFER OF SPIRITS AND/OR DENATURED SPIRITS IN BOND

(See instructions on the back of this form. See 27 CFR 19.401 to 19.407 and 19.733 to 19.739)

1. Serial Number
(Begin with "1" each January 1)

YEAR	SERIAL #
20	

PART I - APPLICATION

APPLICATION IS MADE FOR TRANSFER OF SPIRITS AND/OR DENATURED SPIRITS IN BOND, AS INDICATED BELOW.
*** Each Proprietor is responsible for ensuring that transferred products are suitable for use in their qualified plant operations.**

SHIPPING DISTILLED SPIRITS (DSP) PLANT OR ALCOHOL FUEL PRODUCER (AFP)

2. This plant will transfer ☐ spirits ☐ denatured spirits to the receiving plant listed in Item 4.

3a. Registry /Permit Number	3b. Shipping DSP/AFP Company Name	3c. Shipping DSP/AFP Premises Address
DSP - -		
AFP - -		

RECEIVING DISTILLED SPIRITS PLANT (DSP) OR RECEIVING ALCOHOL FUEL PLANT (AFP)

4a. Registry/Permit Number	4b. Receiving DSP/AFP Company Name	4c. Receiving DSP/AFP Premises Address
DSP - -		
AFP - -		

The receiving plant has the following operations bond coverage *(Complete 5a, 5b, or 5c)*:

5a. ☐ I am not required to hold a bond under 27 CFR 19.151. I withdraw spirits for deferred payment of tax, I was liable for not more than $50,000 in taxes in the preceding calendar year, I reasonably expect to be liable for not more than $50,000 during the current calendar year, and I will not receive transfers of spirits for industrial use.

5b. ☐ I am required to hold a bond under 27 CFR 19.151. I have maximum bond coverage under 27 CFR 19.166 or 19.700 - no limit to amount of shipments. The approval date of my bond listed on TTB F 5110.56 (Bottom of Page 2 - Date signed by the Appropriate TTB Officer) is:

(Leave blank if TTB 5110.56 - Distilled Spirits Bond has not yet been approved)

5c. ☐ I am required to hold a bond under 27 CFR 19.151. I have less than maximum bond coverage under 27 CFR 19.166 or 19.700. The amount of my operations coverage is

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The approval date of my bond listed on TTB F 5110.56 (Bottom of Page 2 - Date signed by the Appropriate TTB Officer) is:

Leave blank if TTB 5110.56 - Distilled Spirits Bond has not yet been approved)

*(Complete 6a and/or 6b below **only** if 5c is selected.)*

6a. ☐ **Quantity of Spirits Authorized to be Transferred**
(Computation: Amount shown in 5c above divided by $13.50 per proof gallon):

PROOF GALLONS ▸

6b. ☐ **Quantity of Denatured Spirits Authorized to be Transferred**
(Computation: Amount shown in 5c divided by $27.00 per wine gallon):

WINE GALLONS ▸

IMPORTANT NOTICE The receiving proprietor is responsible for ensuring that the liability on all spirits and denatured spirits "on hand" and "in transit" will not exceed the bond coverage as shown in 5c above.

7. Remarks:

8. Date	9. Name and Title of Authorized Person for Receiving Plant	10. Receiving Proprietor Signature

PART II – TTB APPROVAL

I certify that the penal sum of the applicant's bond is sufficient to cover the spirits and/or denatured spirits indicated. **APPLICATION IS APPROVED.**

11. Date	12. Signature of Appropriate TTB Officer

INSTRUCTIONS

1. When a proprietor of a distilled spirits plant or an alcohol fuel plant desires to have spirits or denatured spirits transferred to him/her in bond from another domestic plant, he/she must make application for the transfer on this form, in triplicate, and submit all copies to the Office of Permitting and Taxation, Alcohol and Tobacco Tax and Trade Bureau, 550 Main St., Ste. 8970, Cincinnati, OH 45202-3222. **This application must be approved before any spirits or denatured spirits are transferred in bond between the plants.**

2. In-bond shipments must be authorized transfers. For example, industrial (nonbeverage) spirits may not be transferred to a plant whose permitted operations are solely non-industrial (beverage).

3. Unless the receiving proprietor is not required to hold a bond, the receiving proprietor must:

   (a) hold a current DSP operations or unit bond or Alcohol Fuel Producer bond in the maximum penal sum, or

   (b) if the bond is in less than the maximum penal sum, hold a current bond with Distilled Spirits Plant operations or Alcohol Fuel Producer bond coverage sufficient to cover tax on the spirits or denatured spirits to be transferred (in addition to all other liabilities chargeable against such bond).

   If the receiving proprietor has more than one current bond (i.e. an original bond and a strengthening bond), show the information for each current bond in the space provided.

4. The Serial Number in Item 1 must include the year. The first application for transfer in bond filed in the year 2011 must be numbered, "2011-1", and the next application, "2011-2" and so on. As of January 1, 2012, the numbering starts over with "2012-1", then "2012-2", and so on.

5. Spirits transferred from an Alcohol Fuel Plant to a Distilled Spirits Plant may **only** be used in the manufacture of fuel . *(see 27 CFR 19.407(a)(4))*

6. Alcohol bottled for Industrial purposes, as prescribed by 27 CFR 19.366, may be transferred between the bonded premises of distilled spirits plants in accordance with the procedures prescribed in 27 CFR 19.403 – 27 CFR 19.407 for bulk distilled spirits *(See 27 CFR 19.402).*

7. If the application is approved the appropriate TTB officer, will complete Part II on all copies of the form, retain one copy, and return the remaining copies to the applicant. The applicant must deliver one of the approved copies to the consignor, and retain one copy for his/her files. This information collection must be retained as long as the information shown is current.

TERMINATION OF APPLICATION

The approved application remains in effect until the bond is terminated (or replaced). In the case where there is less than a maximum bond, the approved application terminates when the penal sum is changed. If an applicant desires to terminate an approved application at an earlier date, he/she must: (a) retrieve the consignor's copy, and (b) return this copy, together with his/her own copy to the Office of Permitting and Taxation, for cancellation.

PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1995. The information collection is used to make a determination of the eligibility of the person to withdraw and use taxable commodities on which tax has not been paid. The information required is mandatory by statute, 26 U.S.C. 5005(c), and is required to obtain or retain a benefit.

The estimated average burden associated with this collection of information is 12 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Paperwork Reduction Act Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street, NW., Box 12, Washington, DC 20005. DO NOT SEND COMPLETED FORMS TO THIS ADDRESS.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.